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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/550,288	11/14/2006	Yukihiko Mogi	278094US6PCT	9538
OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET			EXAMINER	
			JEANGLAUDE, JEAN BRUNER	
ALEXANDRIA, VA 22314			ART UNIT	PAPER NUMBER
			2819	
			NOTIFICATION DATE	DELIVERY MODE
			05/02/2008	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

## Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)	
10/550,288	MOGI ET AL.	
Examiner	Art Unit	

The MAILING DATE of this communication appears on the cover sheet with the correspondence ac	ddress
THE REPLY FILED <u>22 April 2008</u> FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.	
1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid ab application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the followance.	, which places the or (3) a Request
periods:	
<ul> <li>a)</li></ul>	ction.
Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropring have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropring under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Coset forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  NOTICE OF APPEAL	priate extension fee ffice action; or (2) as
2. ☐ The Notice of Appeal was filed on A brief in compliance with 37 CFR 41.37 must be filed within two mon	the of the date of
filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).  AMENDMENTS	
3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered (a) They raise new issues that would require further consideration and/or search (see NOTE below);	because
<ul> <li>(b) They raise the issue of new matter (see NOTE below);</li> <li>(c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying appeal; and/or</li> </ul>	the issues for
(d) They present additional claims without canceling a corresponding number of finally rejected claims.	
NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).	
4. 🔲 The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendmen	t (PTOL-324).
5. Applicant's reply has overcome the following rejection(s):	
<ol> <li>Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendm non-allowable claim(s).</li> </ol>	ent canceling the
7.  For purposes of appeal, the proposed amendment(s): a)  will not be entered, or b)  will be entered and an how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows:	explanation of
Claim(s) allowed: Claim(s) objected to:	
Claim(s) rejected: Claim(s) withdrawn from consideration:	
AFFIDAVIT OR OTHER EVIDENCE	
8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will rescause applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence was not earlier presented. See 37 CFR 1.116(e).	is necessary and
9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief entered because the affidavit or other evidence failed to overcome <u>all</u> rejections under appeal and/or appellant fa showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)	ails to provide a
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attack REQUEST FOR RECONSIDERATION/OTHER	ched.
11. The request for reconsideration has been considered but does NOT place the application in condition for allowa	ance because:
12. Note the attached Information <i>Disclosure Statement</i> (s). (PTO/SB/08) Paper No(s)13. Other:	
Haan D. Jaanstandal	
/Jean B Jeanglaude/ Primary Examiner, Art Unit 2819	

Continuation of 3. NOTE: The claims as amended "receiving an input signal at frequency Fsi" and "output an output frequency UFsi" would require more search. Also, it was previously requested to insert a subtitle to include the continuing data in the first page of the specification..